# 10/506757 DT09 Rec'd PCT/PTO U 8 SEP 2006

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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In the Application of   | )                            |
|---|------------------------------|
| Leonard Borgström et al.  | <b>)</b>                     |
| on CENTRIFUGAL SEPARATOR  | <b>)</b>                     |
| Serial No.: National Stage Entry of<br>Int'l Appln. No.: PCT/SE03/00110<br>International Filing Date: 23 January 2003 | )<br>}<br>}                  |
| Filed: Simultaneously herewith  | ) (Docket No. 6485-0042WOUS) |

Hartford, Connecticut, September 8, 2004

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### **INFORMATION DISCLOSURE STATEMENT**

### SIR:

Applicant(s) submits herewith Form PTO/SB/08A identifying patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

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Under 37 CFR 1.97 (b)

This Information Disclosure Statement is being filed within three months of the filing date of the application, or the date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits, whichever event occurs last.

| nternational application, or before the mailing date of a first Office Action on the nerits, whichever event occurs last.  |
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| Under 37 CFR 1.97 (c)  ☐ This Information Disclosure Statement is being filed <i>after</i> three months of the filing date of this national application, or the date of entry into the national stage as set forth in §1.491 in an international application, or after the mailing date of the first Office Action on the merits, whichever event occurred last, but <i>before</i> the mailing date of either a final action under §1.113 or a notice of allowance under §1.311, whichever occurs first. |
| ☐ A certification as specified in 37 CFR 1.97(e) is set forth below. ☐ Fee as set forth in 37 CFR 1.17(p) (\$180.00).  |
| Under 37 CFR 1.97(d)  This Information Disclosure Statement is being filed <i>after</i> a final action under §1.113 or a notice of allowance under §1.311, whichever occurs first, but before, or simultaneously with, the payment of the issue fee. Applicant hereby petitions for the consideration of this Information Disclosure Statement, 37 CFR 1.97(d)(ii). A certification as specified in 37 CFR 1.97(e) is set forth below.   |
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| CERTIFICATION (37 CFR 1.97(e))   |
| Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement. A copy of the relevant search report is enclosed herewith.  |
| No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.   |

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Under 37 CFR 1.98(a)(2)(i)

Copies of the U.S. patents identified on the attached PTO/SB/08A form are not being submitted at this time. Should the Examiner require said U.S. patent copies, Applicants respectfully request the Examiner contact Applicants' Representative at the number listed below.

Respectfully submitted,

Richard R. Michaud Registration No. 40,088

Attorney for Applicants

McCormick, Paulding & Huber LLP CityPlace II 185 Asylum Street Hartford, Connecticut 06103-3402 (860) 549-5290 Attachment (PTO/SB/08A)

# 10/506757

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PTO/SB/08A (04-03)

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|  |                      |   |                                |  |             | Application Number  |         |           |  |
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|  |                      |   |                                |  | _           | First Named Inventor  | Leonard | Borgström |  |
| STATEMENT BY APPLICANT (Use as many sheets as necessary) |                      |   |                                |  |             | Art Unit  |         |           |  |
|  |                      |   |                                |  | )           | Examiner Name   |         |           |  |
| Sheet  | I                    | 1 | of                             | 2  |             | Attorney Docket Number  | 6485-00 | 42WOUS    |  |
|  |                      | _ |                                |  | U. S. PATEN | DOCUMENTS   |         |           |  |
| Examiner Initials*                                       | Dodditont Humbor : 1 |   | Publication Date<br>MM-DD-YYYY | Name of Patentee<br>Applicant of Cited Doc |             | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant |         |           |  |

| Examiner  | Cite<br>No.1 | Document Number .                       | Publication Date | Name of Patentee or         | Pages, Columns, Lines, Where                   |  |  |
|-----------|--------------|---|------------------|-----------------------------|--|--|--|
| Initials* | No.1         | Number-Kind Code <sup>2 (# known)</sup> | MM-DD-YYYY       | Applicant of Cited Document | Relevant Passages or Relevan<br>Figures Appear |  |  |
|           |              | <sup>US-</sup> 2,881,974                | 04-14-1959       | W. Ruf                      |  |  |  |
|           |              | <sup>US-</sup> 4,522,766                | 06-11-1985       | Sunada                      |  |  |  |
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| Cite<br>No.1 | Foreign Patent Document   | Publication Date  | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages |   |
|              | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) | MM-DD-YYYY  | 1  | Or Relevant Figures Appear                        | 1   |
|              | WO 00/59639   | 10-12-2000  | Alfa Laval AB                                      |   |   |
|              | EP 0 047 677  | 03-17-1982  | Polybac Corp                                       |   |   |
|              |   |   |  |   |   |
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|              |   |   |  |   | <u> </u>  |
|              | No.1  | No.1 Country Code3 Number 4 Kind Code5 (if known) WO 00/59639 | No.¹ Date MM-DD-YYYY    WO 00/59639                | No.¹ Date MM-DD-YYYY  WO 00/59639                 | No.¹ Date MM-DD-YYYY Applicant of Cited Document Where Relevant Passages Or Relevant Figures Appear  WO 00/59639 10-12-2000 Alfa Laval AB |

| Examiner<br>Signature | Date<br>Considered |
|-----------------------|--------------------|

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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PTO/SB/08b(08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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|                 | INFO          | RMATION            | DISCLO          | SURE | Filing Date            |                   |  |  |
|                 | STAT          | EMENT B            | Y APPLI         | CANT | First Named Inventor   | Leonard Borgström |  |  |
|                 |               |                    |                 |      | Art Unit               |                   |  |  |
|                 |               | (Use as many sheet | ts as necessary | )    | Examiner Name          |                   |  |  |
| $\overline{\ }$ | Sheet         | 2                  | of              | 2    | Attorney Docket Number | 6485-0042WOUS     |  |  |

NON PATENT LITERATURE DOCUMENTS

Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, | T

| Initials*                               | No. <sup>1</sup> | magazine, journal, senal, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |                   |
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|   |                  | Krasy Non-Ferr Meta, No. 86-099394/15, week 8615, Abstract of SU 1180-079, 23 Sept. 1985, Derwent Publications Ltd.                         |                   |
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